



# Surface hardening by superficial heat treatments or diffusion treatments

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**Interreg**

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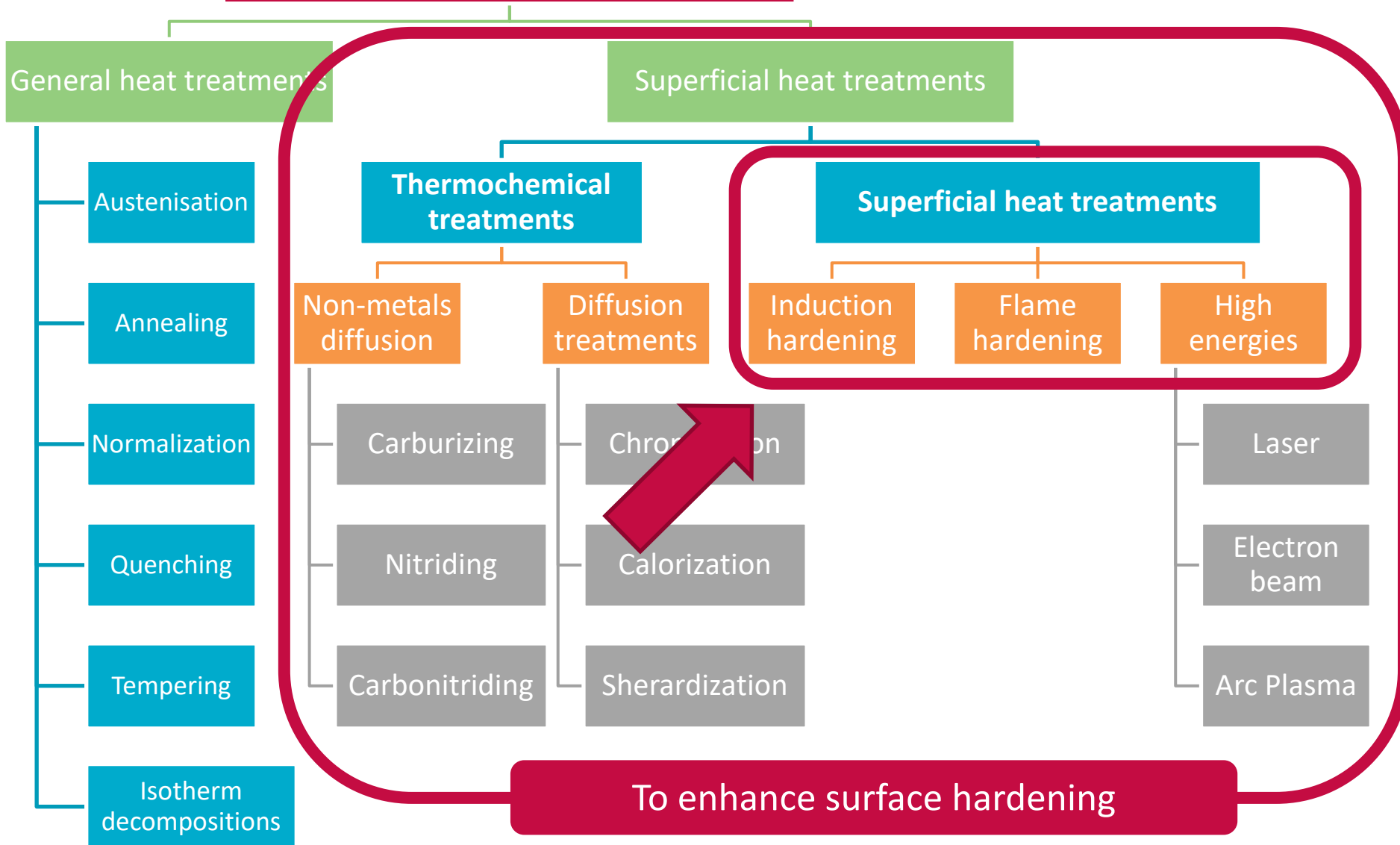
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**Prof. Fabienne Delaunois**



# Heat treatments for carbon steels



# Superficial heat treatments

## Goal

- Hard martensite on part surface
- No modification of steel chemical composition

For steel containing wt.% C > 0.3

- 0.4 to 0.6 wt.% C

## Heat treatments

### 1. Fast heating

- To avoid diffusion
- Above  $A_{C3} + 25-50^{\circ}\text{C}$  → austenisation

### 2. Quick cooling

- Water or oil quenching to obtain martensite

# Superficial heat treatments

- Fast heating

## Medium or high frequency induction heating

- Most common method
- Heating from a few 0.1 to a few mm deep
- Heating by Joule effect and thermal conduction

## Heating with flame

- Very fast heating of the surface above  $A_{c3}$  (a few seconds)
- Hardened depth between 1 mm and the full section of the part (up to 75 mm)

## Immersion in a bath of molten salts (1000-1200°C)

- More rarely used
- For gear teeth

# Superficial heat treatments

- Induction heating

## Advantages

- Short heating times, fast and economical processing
- Localized hardening
- Depth of heating controlled by frequency of the alternating current, power input, time, part coupling and quench delay
- Good wear resistance of hardened surfaces (due to high hardness)
- Fatigue resistance due to compressive surface stresses
- No decarburization or oxidation
- Low structural deformations because part core remains cold
- Core retains its initial mechanical characteristics
- Easy to automate
- Non-polluting

## Disadvantages

- High economic investment
- Need of a well shape design of the inductor to ensure correct distribution of the induced currents
- For a limited number of steel grades
- Need of a careful control of temperature and thermal gradients

# Superficial heat treatments

- Applications

## Improved properties

- Wear resistance by friction, by matting, by rolling
- Fatigue resistance by total or localized reinforcement at stress concentrations

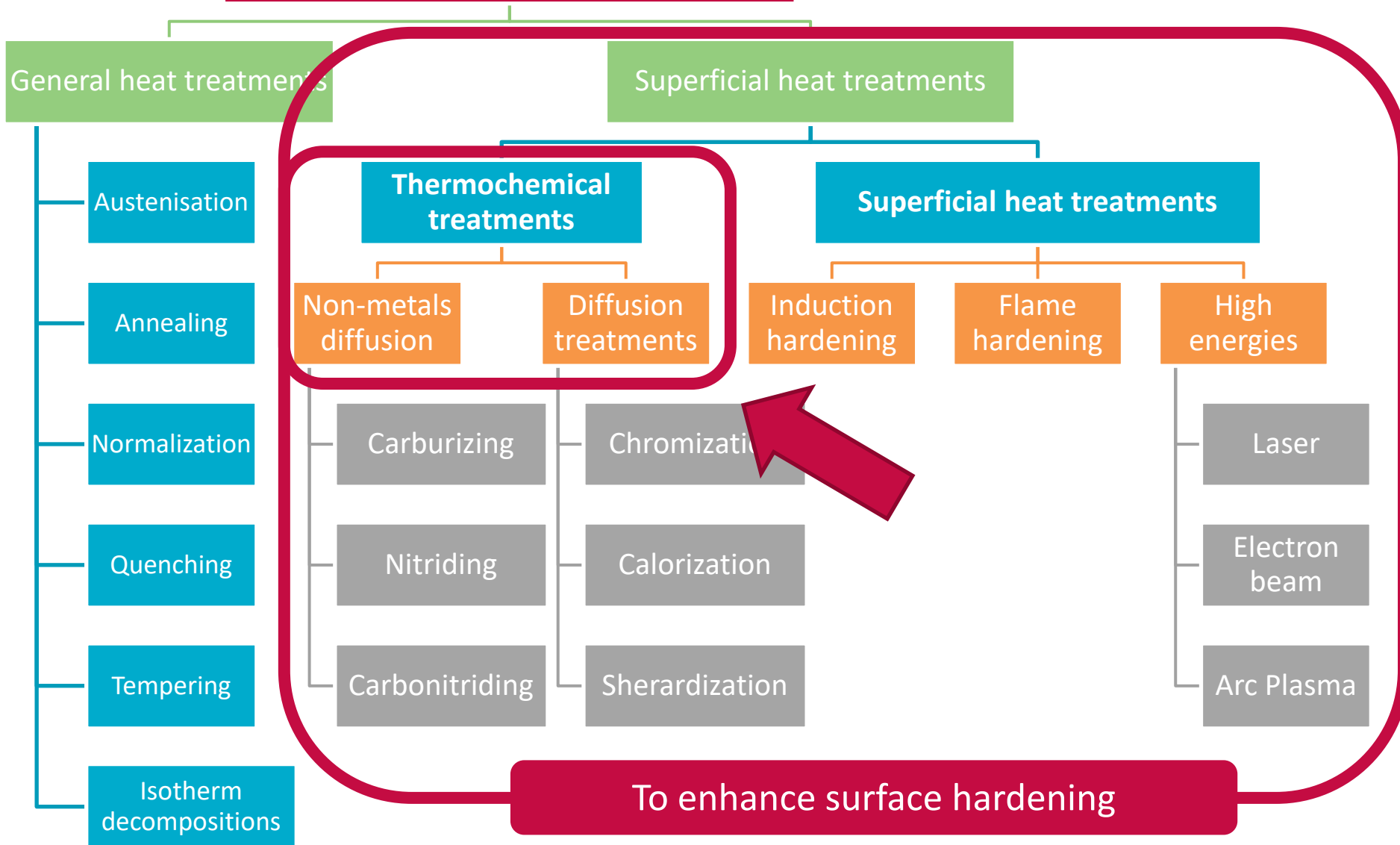
## Areas

- Automotive, railway, mechanical engineering, agricultural construction, public works, machine tools, steel industry, ...

## Examples

- Axes, pistons, transmission shafts, road shafts, cylinders, slides, rollers, pulleys, tappets, crankshafts, cams, camshafts, rocker arms, transmission joints, ball joints, cutting tools, chain links, ...

# Heat treatments for carbon steels



# Thermochemical treatments

Based on the diffusion of chemical elements

- Non-metals : C, N → to enhance mechanical properties
- Metals : Cr, Al, Zn, Si → to enhance corrosion resistance

3 major treatments to enhance mechanical properties

1. Carburizing: diffusion of carbon (C)
2. Nitriding: diffusion of nitrogen (N)
3. Carbonitriding or nitrocarburizing: diffusion of C and N

2 hardening mechanisms

1. By quenching (carburizing, carbonitriding)
2. By precipitation, by solid solution effects and by chemical combination between substrate and diffusing element (nitriding, nitrocarburizing)



## Thermochemical treatments

Active medium or diffusion medium used	Carburizing	Nitriding	Carbonitriding
Solid = pack (coal, coke, graphite, etc.)	<input checked="" type="checkbox"/>		
Liquid = baths of molten cyanide salts	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Gaseous = gas mixture rich in C and/or N (CO or hydrocarbons of the $C_nH_m$ type, ammoniac $NH_3$ )	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Plasma = ionic	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Low pressure or vacuum = rarefied atmosphere	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>

# Thermochemical treatments

Carburizing	Nitriding
Diffusion of C in solid solution of insertion in Fe	Diffusion of N in solid solution of insertion in Fe
$2CO \Rightarrow CO_2 + C_{at}$	$2NH_3 \Rightarrow 3H_2 + 2N_{at}$
<ol style="list-style-type: none"> <li>1. Heating at 815-980°C to obtain <math>\gamma</math> phase</li> <li>2. Diffusion of C to obtain 0.7-0.9 wt.% C in surface</li> <li>3. Water or oil quenching</li> <li>4. Tempering (550°C-1 h)</li> </ol>	<ol style="list-style-type: none"> <li>1. Heating at about 500-550°C to obtain <math>\alpha</math> phase</li> <li>2. Diffusion of N</li> </ol>
Diffusion time: about 30 min	Duration: fct( $t^\circ$ of HT): 50-100 h
<p>Hardening by <b>martensite</b> formation</p> <ul style="list-style-type: none"> <li>• Level of hardening depending on the carbon content of the martensite (800-850HV)</li> <li>• Hardened thickness between 0.2 to 2 (or more) mm depending on the application</li> </ul>	<p>Hardening by superposition of two layers (from the surface)</p> <ol style="list-style-type: none"> <li>1. Thin <b>compound layer</b> made of <math>Fe_4N</math> (<math>\gamma'</math>) and <math>Fe_{2-3}N</math> (<math>\epsilon</math>) nitrides= “white layer” (<math>\leq 30 \mu m</math>)</li> <li>2. <b>Diffusion layer</b> (0.1 to 1 mm): nitride-reinforced surface layer nitride precipitates of iron and of alloying elements + solid solution hardening</li> </ol>

# Thermochemical treatments

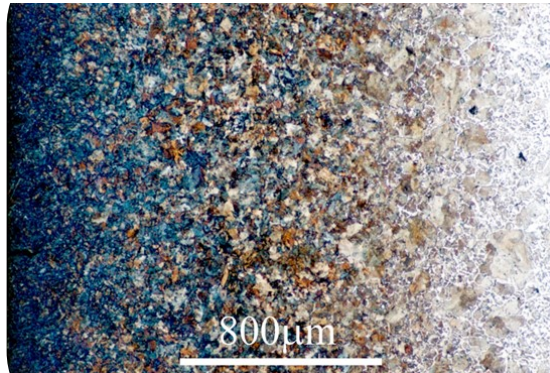
Carburizing	Nitriding
<p>Other properties</p> <ul style="list-style-type: none"><li>• High wear resistance</li><li>• Improved fatigue resistance due to compressive stresses on the surface</li></ul>	<p>Other properties</p> <ul style="list-style-type: none"><li>• Minimum distortion and excellent dimensional control</li><li>• High wear resistance</li><li>• High fatigue resistance in flexion and in torsion due to compressive stresses on the surface</li><li>• High resistance to seizing, adhesive bonding, wear due to small movements because of the presence of the compound layer (made of nitrides that are auto lubricious)</li><li>• Very high hot resistance</li></ul>
<p>For mild steel with an initial carbon content &lt; 0.25 wt.%</p>	<p>For specific grades: mechanical engineering steels (medium-carbon (quenched and tempered) steels), tool steels, stainless steels, sintered steels</p> <ul style="list-style-type: none"><li>• Alloyed with elements that easily form nitrides (Cr, V, Al)</li></ul>

# Thermochemical treatments

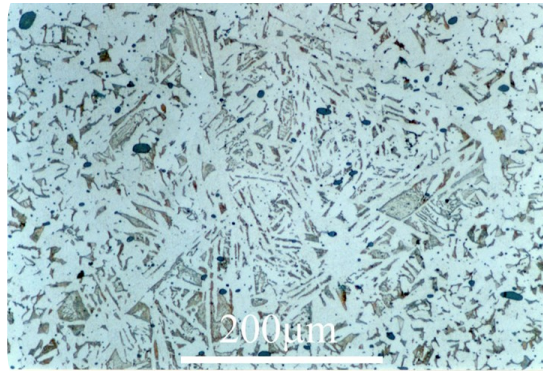
Carbonitriding	Nitrocarburizing
Diffusion of C and N in solid solution of insertion in Fe	Diffusion of C and N in solid solution of insertion in Fe
$2CO \Rightarrow CO_2 + C_{at}$ $2NH_3 \Rightarrow 3H_2 + 2N_{at}$	
<ol style="list-style-type: none"> <li>1. Heating at 760-870°C to obtain <math>\gamma</math> phase</li> <li>2. Water or oil quenching</li> <li>3. Tempering</li> </ol>	<p>2 types of nitrocarburizing depending on the heat-treatment <math>t^\circ</math></p> <ol style="list-style-type: none"> <li>1. ferritic</li> <li>2. austenitic</li> </ol>
Diffusion time: 30 min to few hours	
<ul style="list-style-type: none"> <li>• Hardening by <b>martensite</b> formation</li> <li>• In some cases: hardening by <b>nitride</b> formation</li> <li>• Austenite may be retained after quenching</li> </ul>	Higher levels of N with a compound layer
<ul style="list-style-type: none"> <li>• N enhances hardenability and case hardness but inhibits the diffusion of C <math>\Rightarrow</math> Surface hardness equivalent to that of high-alloy carburized steel without the need for drastic quenching</li> </ul>	
For low-carbon steels and stainless steels	For plain carbon steels

# Carburizing

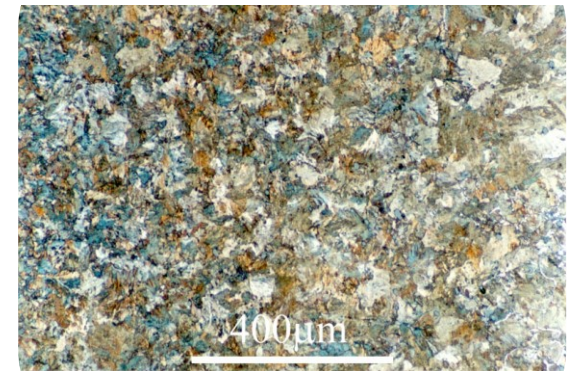
Steel containing 0.07 wt.% C and 2.3 wt.% Mn, treated at 950°C



Complete microstructure  
(from the surface to the  
core)



Martensite in surface

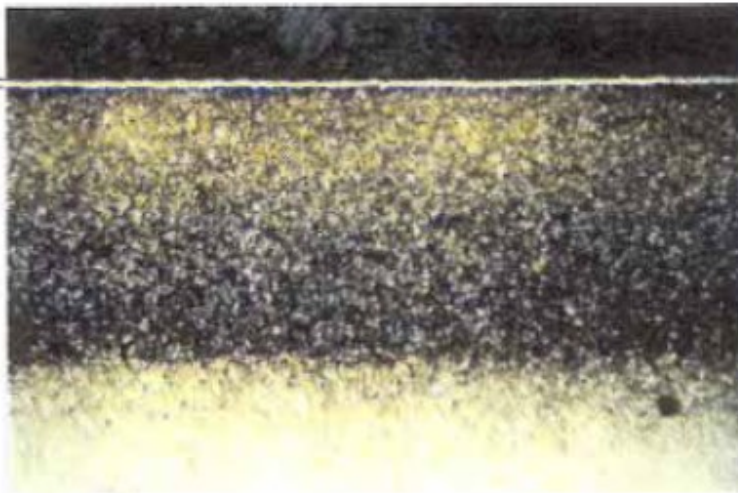


Very fine pearlite/bainite  
in the core

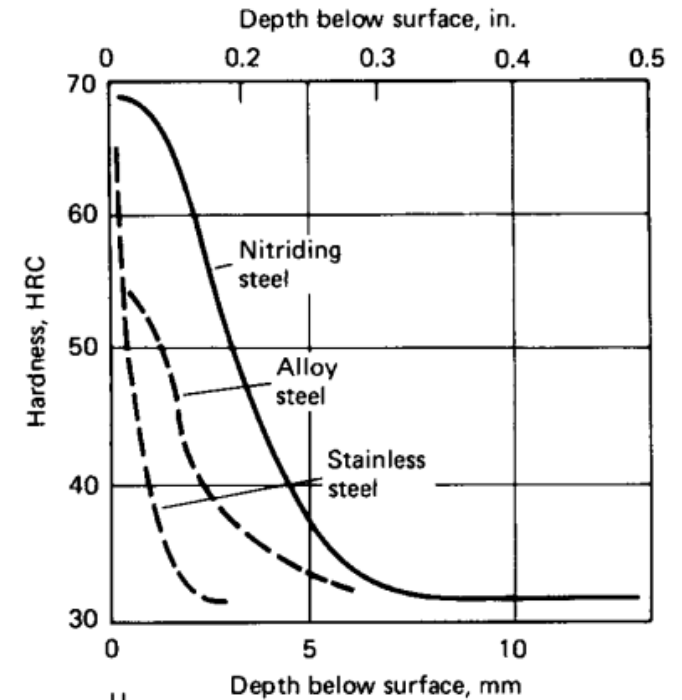
# Nitriding

Compound layer  
(nitrides)

Diffusion layer



Nital etching  
Microscopic observation



Compound zone  
 $\text{Fe}_4\text{N} (\gamma')$

Diffusion zone  
 $\text{Fe}_3\text{N} (\epsilon)$

N in solution

# Case depth

Depth of diffusion

$$\text{Case depth} = K \times \sqrt{\text{Time}}$$

K = diffusivity constant depending on temperature, chemical composition of the steel, concentration gradients of the hardening element

- K increases exponentially as a function of absolute temperature
- Concentration gradients depend on the surface kinetics and reactions of a particular process

## Diffusion substrates

Carbu-  
rizing

Nitriding

Carbonitriding

Ferritic  
nitrocarbu-  
-rizing

Low-  
carbon  
steels

Alloyed  
steels

Stainless  
steels

Tools  
steels

Nitriding  
steels

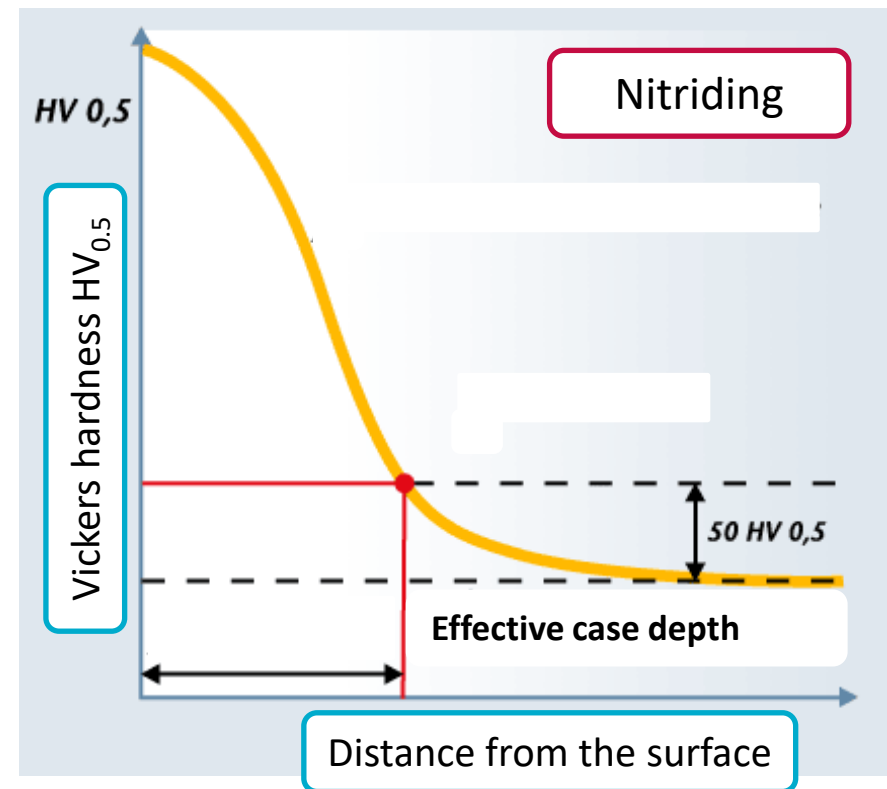
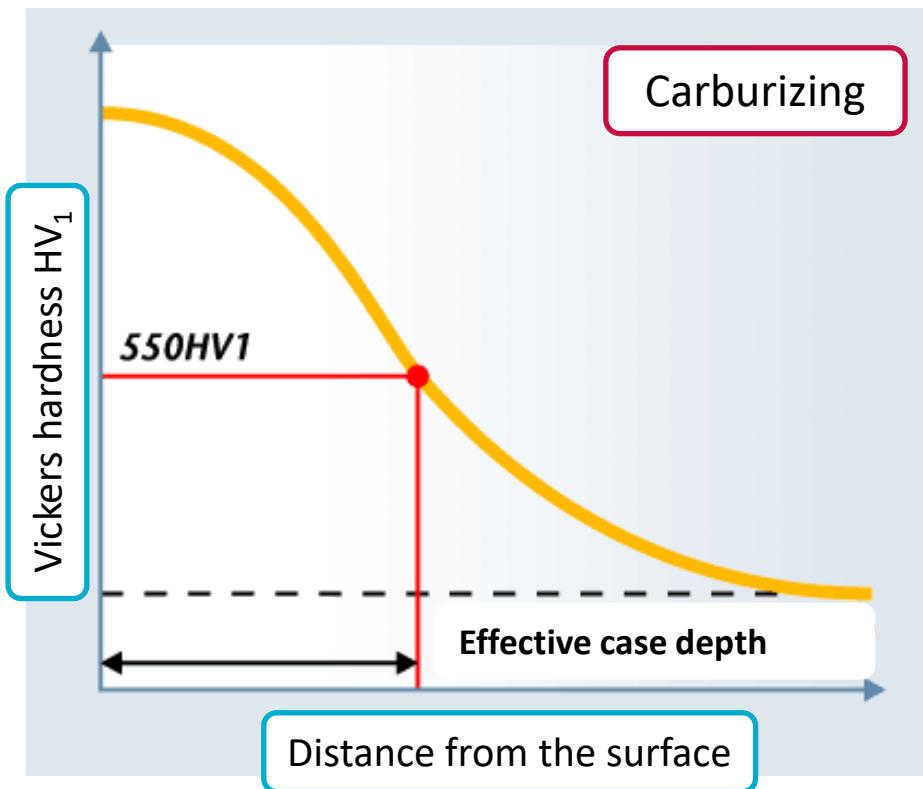
Low-  
carbon  
steels

Stainless  
steels

Low-  
carbon  
steels

## Carburizing or nitriding hardening depth

- Case depth allows to control the efficiency of the surface heat treatment
- 2 parameters
  - Total case depth
  - Effective case depth → most interesting

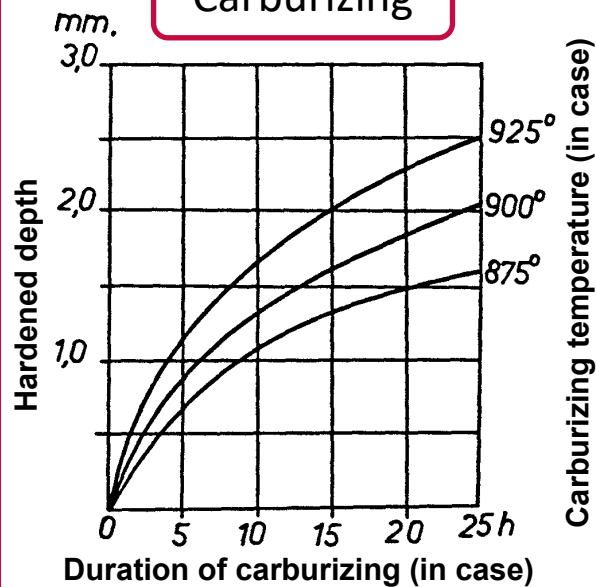




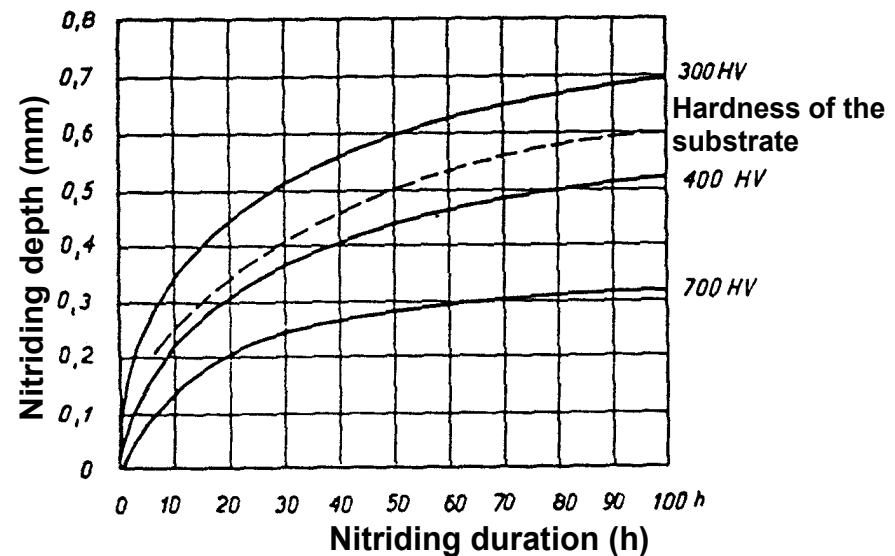
## Carburizing or nitriding hardening depth

- Thickness depending on
  - Duration of the hardening treatment

Carburizing

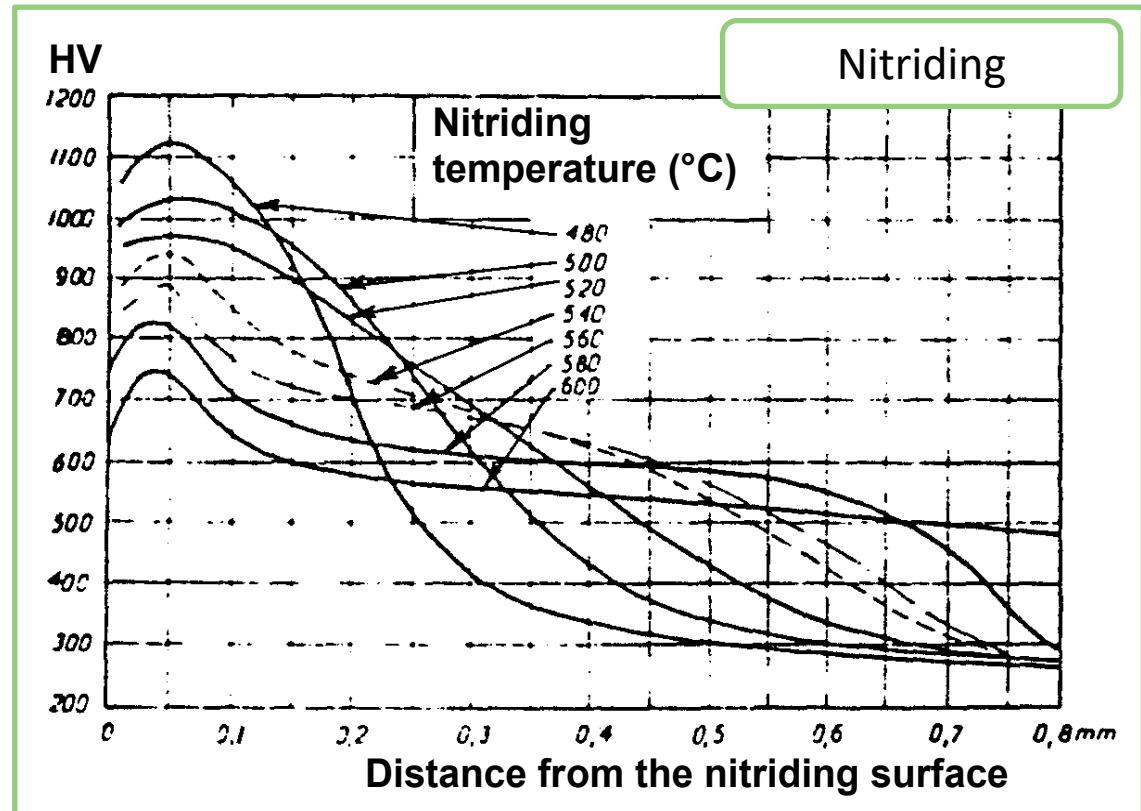
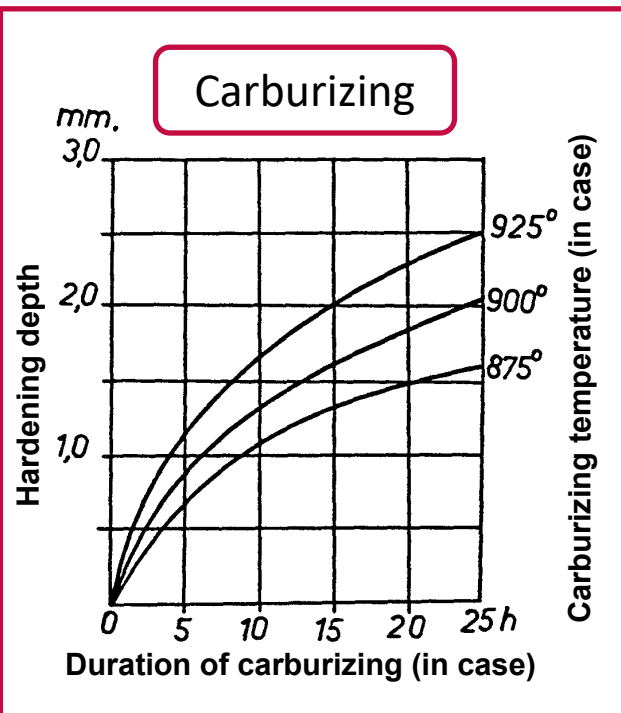


Nitriding



## Carburizing or nitriding hardening depth

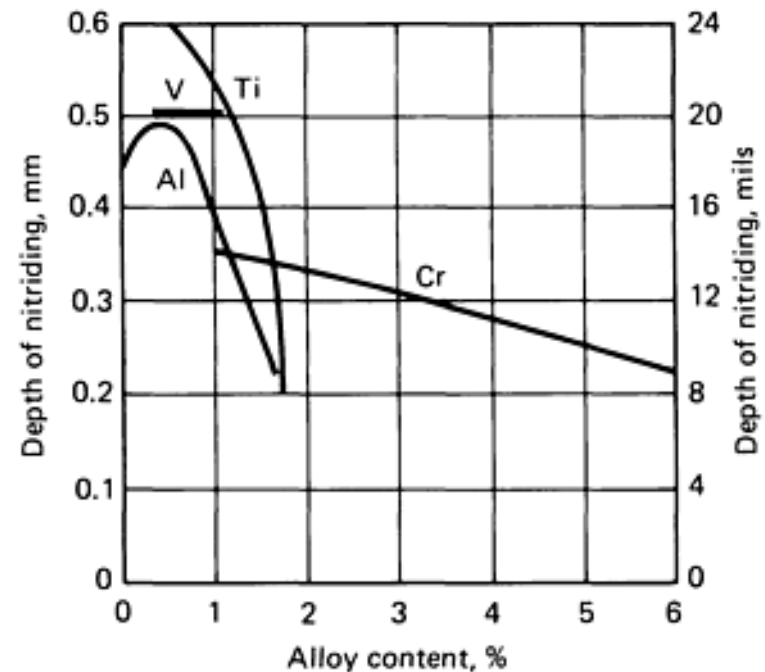
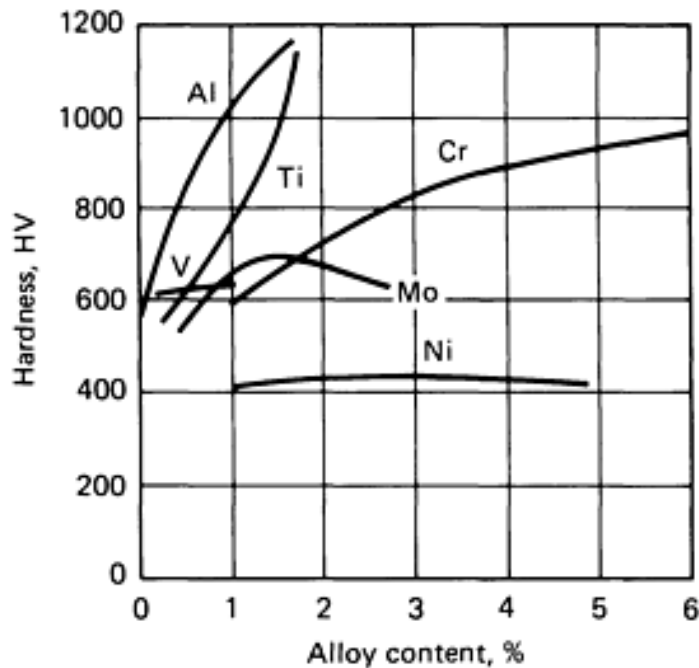
- Thickness depending on
  - Duration of the hardening treatment
  - Heat treatment temperature



## Carburizing or nitriding hardening depth

- Thickness depending on
  - Duration of the hardening treatment
  - Heat treatment temperature
  - Chemical composition of the substrate because alloying elements are influencing the element diffusion rate

### Nitriding



## Carburizing or nitriding hardening depth

- Thickness depending on
  - Duration of the hardening treatment
  - Heat treatment temperature
  - Chemical composition of the substrate because alloying elements are influencing the element diffusion rate
  - Composition of the atmosphere (carburizing or nitriding potential)

## Heat treatments

- **Carburizing**
  - After diffusion treatment
    - Quenching + tempering
- **Nitriding**
  - Before diffusion treatment
    - Quenching + tempering

# Applications



Valve spring seat rings in St4  
(left: untreated, right:  
carbonitrided, after  
hardening and tempering)



Tapered roller bearing in  
20NiCrMo7F after  
carburizing, hardening and  
tempering



16MnCr5 bevel gears after  
carburizing, hardening and  
tempering

# Summary

- Relative benefits for five common surface-hardening processes

Process		Benefits									
		Mechanical/tribological properties					Cost		Others		
		Hardness	Wear-resistance	Capacity for contact load	Bending fatigue	Resistance to seizure	Steel substrate	Capital investment	Freedom from quench cracking	Possible dimensional control	Salt corrosion resistance
Superficial heat treatments	Induction hardening	Hard	High (deep case depths)	good	good	fair	low-cost	medium		fair	
	Flame hardening	Hard	High (deep case depths)	good	good	fair	low-cost	low		fair	
Thermo-chemical heat treatments	Carburizing	Hard	High (medium case depths)	excellent	good	good	low-to-medium	high	excellent	fair	
	Nitriding	Hard	High (shallow case depths)	fair	good	excellent	medium-to-high	medium	good (during pretreatment)	excellent	improved
	Carbo-nitriding	Hard	High (shallow case depths)	fair	good	good	low-cost	medium	excellent	good	improved

**Thank you for your attention**

Questions?

